Backward Trace Slicing for Rewriting Logic Theories[*]

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Abstract. Trace slicing is a widely used technique for execution trace analysis that is effectively used in program debugging, analysis and comprehension. In this paper, we present a backward trace slicing technique that can be used for the analysis of Rewriting Logic theories. Our trace slicing technique allows us to systematically trace back rewrite sequences modulo equational axioms (such as associativity and commutativity) by means of an algorithm that dynamically simplifies the traces by detecting control and data dependencies, and dropping useless data that do not influence the final result. Our methodology is particularly suitable for analyzing complex, textually-large system computations such as those delivered as counter-example traces by Maude model-checkers.

1 Introduction

The analysis of execution traces plays a fundamental role in many program manipulation techniques. Trace slicing is a technique for reducing the size of traces by focusing on selected aspects of program execution, which makes it suitable for trace analysis and monitoring [7].

Rewriting Logic (RWL) is a very general *logical* and *semantic framework*, which is particularly suitable for formalizing highly concurrent, complex systems (e.g., biological systems [5, 17] and Web systems [3, 4]). RWL is efficiently implemented in the high-performance system Maude [9]. Roughly speaking, a *rewriting logic theory* seamlessly combines a *term rewriting system* (TRS) together with an *equational theory* that may include sorts, functions, and algebraic laws (such as commutativity and associativity) so that rewrite steps are applied *modulo* the equations. Within this framework, the system states are typically represented as elements of an algebraic data type that is specified by the equational theory, while the system computations are modeled via the rewrite rules, which describe transitions between states.

Due to the many important applications of RWL, in recent years, the debugging and optimization of RWL theories have received growing attention [2, 14, 15]. However, the existing tools provide hardly support for execution trace analysis. The original motivation for our work was to reduce the size of the counterexample traces delivered by Web-TLR, which is a RWL-based model-checking tool for Web applications proposed in [3, 4]. As a matter of fact, the analysis (or even the simple inspection) of such traces may be unfeasible because of the size and complexity of the traces under examination. Typical counterexample traces in Web-TLR are 75 Kb long for a model size of 1.5 Kb, that is, the trace is in a ratio of 5.000% w.r.t. the model.

To the best of our knowledge, this paper presents the first trace slicing technique for RWL theories. The basic idea is to take a trace produced by the RWL engine and traverse and analyze it backwards to filter out events that are irrelevant for the rewritten task. The trace slicing technique that we propose is fully general and can be applied to optimizing any RWL-based tool that manipulates rewrite logic traces. Our technique relies on a suitable mechanism of backward tracing that is formalized by means of a procedure that labels the calls (terms) involved in the rewrite steps. This allows us to infer, from a term t and positions of interest on it, positions of interest of the term that was rewritten to t. Our labeling procedure extends the technique in [6], which allows descendants and origins to be traced in orthogonal (i.e., left-linear and overlap-free) term rewriting systems in order to deal with rewrite theories that may contain commutativity/associativity axioms, as well as nonleft-linear, collapsing equations and rules.

Plan of the paper. Section 2 summarizes some preliminary definitions and notations about term rewriting systems. In Section 3, we recall the essential notions concerning rewriting modulo equational theories. In Section 4, we formalize our backward trace slicing technique for elementary rewriting logic theories. Section 5 extends the trace slicing technique of Section 4 by considering extended rewrite theories, i.e., rewrite theories that may include collapsing, nonleft-linear rules, associative/commutative equational axioms, and built-in operators. Section 6 describes a software tool that implements the proposed backward slicing technique and reports on an experimental evaluation of the tool that allows us to assess the practical advantages of the trace slicing technique. In Section 7, we discuss some related work and then we conclude. More details and missing proofs can be found in [1].

2 Preliminaries

A many-sorted signature (Σ, S) consists of a set of sorts S and a $S^* \times S$-indexed family of sets $\Sigma = \{\Sigma_{\bar{s} \times s}\}_{(\bar{s}, s) \in S^* \times S}$, which are sets of *function symbols* (or operators) with a given string of argument sorts and result sort. Given an S-sorted set $\mathcal{V} = \{\mathcal{V}_s \mid s \in S\}$ of disjoint sets of variables, $T_\Sigma(\mathcal{V})_s$ and T_{Σ_s} are the sets of terms and ground terms of sorts s, respectively. We write $T_\Sigma(\mathcal{V})$ and T_Σ for the corresponding term algebras. An *equation* is a pair of terms of the

form $s = t$, with $s, t \in T_\Sigma(\mathcal{V})_s$. In order to simplify the presentation, we often disregard sorts when no confusion can arise.

Terms are viewed as labelled trees in the usual way. Positions are represented by sequences of natural numbers denoting an access path in a term. The empty sequence Λ denotes the root position. By $root(t)$, we denote the symbol that occurs at the root position of t. We let $\mathcal{P}os(t)$ denote the set of positions of t. By notation $w_1.w_2$, we denote the concatenation of positions (sequences) w_1 and w_2. Positions are ordered by the prefix ordering, that is, given the positions w_1, w_2, $w_1 \leq w_2$ if there exists a position x such that $w_1.x = w_2$. $t_{|u}$ is the subterm at the position u of t. $t[r]_u$ is the term t with the subterm rooted at the position u replaced by r. A substitution σ is a mapping from variables to terms $\{x_1/t_1, \ldots, x_n/t_n\}$ such that $x_i\sigma = t_i$ for $i = 1, \ldots, n$ (with $x_i \neq x_j$ if $i \neq j$), and $x\sigma = x$ for any other variable x. By ε, we denote the *empty* substitution. Given a substitution σ, the *domain* of σ is the set $Dom(\sigma) = \{x | x\sigma \neq x\}$. By $Var(t)$ (resp. $FSymbols(t)$), we denote the set of variables (resp. function symbols) occurring in the term t.

A *context* is a term $\gamma \in T_{\Sigma \cup \{\Box\}}(\mathcal{V})$ with zero or more holes $\Box$[3], and $\Box \notin \Sigma$. We write $\gamma[\ ]_u$ to denote that there is a hole at position u of γ. By notation $\gamma[\ ]$, we define an arbitrary context (where the number and the positions of the holes are clarified *in situ*), while we write $\gamma[t_1, \ldots t_n]$ to denote the term obtained by filling the holes appearing in $\gamma[\ ]$ with terms $t_1, \ldots, t_n$. By notation $t^\Box$, we denote the context obtained by applying the substitution $\sigma = \{x_1/\Box, \ldots, x_n/\Box\}$ to t, where $Var(t) = \{x_1 \ldots, x_n\}$ (i.e., $t^\Box = t\sigma$).

A *term rewriting system* (TRS for short) is a pair (Σ, R), where Σ is a signature and R is a finite set of reduction (or rewrite) rules of the form $\lambda \to \rho$, $\lambda, \rho \in T_\Sigma(\mathcal{V})$, $\lambda \notin \mathcal{V}$ and $Var(\rho) \subseteq Var(\lambda)$. We often write just R instead of (Σ, R). A rewrite step is the application of a rewrite rule to an expression. A term s *rewrites* to a term t via $r \in R$, $s \xrightarrow{r}_R t$ (or $s \xrightarrow{r;\sigma}_R t$), if there exists a position q in s such that λ *matches* $s_{|q}$ via a substitution σ (in symbols, $s_{|q} = \lambda\sigma$), and t is obtained from s by replacing the subterm $s_{|q} = \lambda\sigma$ with the term $\rho\sigma$, in symbols $t = s[\rho\sigma]_q$. The rule $\lambda \to \rho$ (or equation $\lambda = \rho$) is *collapsing* if $\rho \in \mathcal{V}$; it is *left-linear* if no variable occurs in λ more than once. We denote the transitive and reflexive closure of $\to$ by $\to^*$.

Let $r : \lambda \to \rho$ be a rule. We call the context $\lambda^\Box$ (resp. $\rho^\Box$) *redex pattern* (resp. *contractum pattern*) of r. For example, the context $f(g(\Box, \Box), a)$ (resp. $d(s(\Box), \Box)$) is the redex pattern (resp. contractum pattern) of the rule $r : f(g(x, y), a)) \to d(s(y), y)$, where a is a constant symbol.

3 Rewriting Modulo Equational Theories

An *equational theory* is a pair (Σ, E), where Σ is a signature and $E = \Delta \cup B$ consists of a set of (oriented) equations Δ together with a collection B of equational axioms (e.g., associativity and commutativity axioms) that are associated

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[3] Actually, when considering types, we assume to have a distinct $\Box_s$ symbol for each sort $s \in S$, and by abuse we simply denote $\Box_s$ by $\Box$.

with some operator of Σ. The equational theory E induces a least congruence relation on the term algebra $T_\Sigma(\mathcal{V})$, which is usually denoted by $=_E$.

A *rewrite theory* is a triple $\mathcal{R} = (\Sigma, E, R)$, where (Σ, E) is an equational theory, and R is a TRS. Examples of rewrite theories can be found in [9].

Rewriting modulo equational theories [14] can be defined by lifting the standard rewrite relation $\to_R$ on terms to the E-congruence classes induced by $=_E$. More precisely, the rewrite relation $\to_{R/E}$ for rewriting modulo E is defined as $=_E \circ \to_R \circ =_E$. A computation in $\mathcal{R}$ using $\to_{R \cup \Delta, B}$ is a *rewriting logic deduction*, in which the *equational simplification* with Δ (i.e., applying the oriented equations in Δ to a term t until a canonical form $t{\downarrow}_E$ is reached where no further equations can be applied) is intermixed with the rewriting computation with the rules of R, using an *algorithm of matching modulo*[4] B in both cases. Formally, given a rewrite theory $\mathcal{R} = (\Sigma, E, R)$, where $E = \Delta \cup B$, a *rewrite step modulo* E on a term s_0 by means of the rule $r : \lambda \to \rho \in R$ (in symbols, $s_0 \xrightarrow{r}_{R \cup \Delta, B} s_1$) can be implemented as follows: (i) apply (modulo B) the equations of Δ on s_0 to reach a canonical form $(s_0 \downarrow_E)$; (ii) rewrite (modulo B) $(s_0 \downarrow_E)$ to term v by using $r \in R$; and (iii), apply (modulo B) the equations of Δ on v again to reach a canonical form for v, $s_1 = v \downarrow_E$.

Since the equations of Δ are implicitly oriented (from left to right), the equational simplification can be seen as a sequence of (equational) rewrite steps $(\to_{\Delta/B})$. Therefore, a *rewrite step modulo* E $s_0 \xrightarrow{r}_{R \cup \Delta, B} s_1$ can be expanded into a sequence of rewrite steps as follows:

$$s_0 \overbrace{\to_{\Delta/B} \cdot\cdot \to_{\Delta/B}}^{\substack{\text{equational}\\\text{simplification}}} s_0{\downarrow}_E =_B u \overbrace{\xrightarrow{r}_R}^{\substack{\text{rewrite}\\\text{step}/_B}} v \overbrace{\to_{\Delta/B} \cdot\cdot \to_{\Delta/B}}^{\substack{\text{equational}\\\text{simplification}}} v{\downarrow}_E = s_1$$

Given a finite rewrite sequence $\mathcal{S} = s_0 \to_{R \cup \Delta, B} s_1 \to_{R \cup \Delta, B} \ldots \to s_n$ in the rewrite theory $\mathcal{R}$, the *execution trace* of $\mathcal{S}$ is the rewrite sequence $\mathcal{T}$ obtained by expanding all the rewrite steps $s_i \to_{R \cup \Delta, B} s_{i+1}$ of $\mathcal{S}$ as is described above.

In this work, a rewrite theory $\mathcal{R} = (\Sigma, B \cup \Delta, R)$ is called *elementary* if $\mathcal{R}$ does not contain equational axioms ($B = \emptyset$) and both rules and equations are left-linear and not collapsing.

4 Backward Trace Slicing for Elementary Rewrite Theories

In this section, we formalize a backward trace slicing technique for *elementary rewrite theories* that is based on a term labeling procedure that is inspired by [6]. Since equations in Δ are treated as rewrite rules that are used to simplify terms, our formulation for the trace slicing technique is purely based on standard rewriting.

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[4] A subterm of t matches l (*modulo B*) via the substitution σ if $t =_B u$ and $u_{|q} = l\sigma$ for a position q of u.

4.1   Labeling procedure for rewrite theories

Let us define a labeling procedure for rules similar to [6] that allows us to trace symbols involved in a rewrite step. First, we provide the notion of labeling for terms, and then we show how it can be naturally lifted to rules and rewrite steps.

Consider a set $\mathcal{A}$ of *atomic labels*, which are denoted by Greek letters $\alpha, \beta, \ldots$. *Composite labels* (or simply *labels*) are defined as finite sets of elements of $\mathcal{A}$. By abuse, we write the label $\alpha\beta\gamma$ as a compact denotation for the set $\{\alpha, \beta, \gamma\}$.

A *labeling* for a term $t \in T_{\Sigma \cup \{\Box\}}(\mathcal{V})$ is a map L that assigns a label to (the symbol occurring at) each position w of t, provided that $root(t_{|w}) \neq \Box$. If t is a term, then t^L denotes the labeled version of t. Note that, in the case when t is a context, occurrences of symbol $\Box$ appearing in the labeled version of t are not labeled. The *codomain* of a labeling L is denoted by $Cod(L) = \{l \mid (w \mapsto l) \in L\}$.

An *initial labeling* for the term t is a labeling for t that assigns distinct fresh atomic labels to each position of the term. For example, given $t = f(g(a, a), \Box)$, then $t^L = f^{\alpha}(g^{\beta}(a^{\gamma}, a^{\delta}), \Box)$ is the labeled version of t via the initial labeling $L = \{\Lambda \mapsto \alpha,\ 1 \mapsto \beta,\ 1.1 \mapsto \gamma,\ 1.2 \mapsto \delta\}$. This notion extends to rules and rewrite steps in a natural way as shown below.

Labeling of Rules.   The labeling of a rewriting rule is formalized as follows:

Definition 1. *(rule labeling) [6] Given a rule $r : \lambda \to \rho$, a labeling L_r for r is defined by means of the following procedure.*

r_1. *The redex pattern $\lambda^{\Box}$ is labeled by means of an initial labeling L.*
r_2. *A new label l is formed by joining all the labels that occur in the labeled redex pattern $\lambda^{\Box}$ (say in alphabetical order) of the rule r. Label l is then associated with each position w of the contractum pattern $\rho^{\Box}$, provided that $root(\rho^{\Box}_{|w}) \neq \Box$.*

The labeled version of r w.r.t. L_r is denoted by r^{L_r}. Note that the labeling procedure shown in Definition 1 does not assign labels to variables but only to the function symbols occurring in the rule.

Labeling of Rewrite Steps.   Before giving the definition of labeling for a rewrite step, we need to formalize the auxiliary notion of substitution labeling.

Definition 2. *(substitution labeling) Let $\sigma = \{x_1/t_1, \ldots, x_n/t_n\}$ be a substitution. A labeling L_{σ} for the substitution σ is defined by a set of initial labelings $L_{\sigma} = \{L_{x_1/t_1}, \ldots, L_{x_n/t_n}\}$ such that (i) for each binding (x_i/t_i) in the substitution σ, t_i is labeled using the corresponding initial labeling L_{x_i/t_i}, and (ii) the sets $Cod(L_{x_1/t_1}), \ldots, Cod(L_{x_n/t_n})$ are pairwise disjoint.*

By using Definition 2, we can formulate a labeling procedure for rewrite steps as follows.

Definition 3. *(rewrite step labeling) Let $r : \lambda \rightarrow \rho$ be a rule, and $\mu : t \overset{r,\sigma}{\rightarrow} s$ be a rewrite step using r such that $t = C[\lambda\sigma]_q$ and $s = C[\rho\sigma]_q$, for a context C and position q. Let $\sigma = \{x_1/t_1, \ldots, x_n/t_n\}$. Let L_r be a labeling for the rule r, L_C be an initial labeling for the context C, and $L_\sigma = \{L_{x_1/t_1}, \ldots, L_{x_n/t_n}\}$ be a labeling for the substitution σ such that the sets $Cod(L_C)$, $Cod(L_r)$, and $Cod(\sigma)$ are pairwise disjoint, where $Cod(\sigma) = \bigcup_{i=1}^{n} Cod(L_{x_i/t_i})$.*

The rewrite step *labeling L_μ for μ is defined by successively applying the following steps:*

s_1. *First, positions of t or s that belong to the context C are labeled by using the initial labeling L_C.*

s_2. *Then positions of $t_{|q}$ (resp. $s_{|q}$) that correspond to the redex pattern (resp. contractum pattern) of the rule r rooted at the position q are labeled according to the labeling L_r.*

s_3. *Finally, for each term t_j, $j = \{1, \ldots, n\}$, which has been introduced in t or s via the binding $x_j/t_j \in \sigma$, with $x_j \in Var(\lambda)$, t_j is labeled using the corresponding labeling $L_{x_j/t_j} \in L_\sigma$*

The labeled version of a rewrite step μ w.r.t. L_μ is denoted by μ^{L_μ}. Let us illustrate these definitions by means of a rather intuitive example.

Example 1. Consider the rule $r : f(g(x, y), a)) \rightarrow d(s(y), y)$. The labeled version of rule r using the initial labeling $L = \{(\Lambda \mapsto \alpha, 1 \mapsto \beta, 2 \mapsto \gamma\}$ is as follows:

$$f^\alpha(g^\beta(x, y), a^\gamma) \rightarrow d^{\alpha\beta\gamma}(s^{\alpha\beta\gamma}(y), y)$$

Consider a rewrite step $\mu : C[\lambda\sigma] \overset{r}{\rightarrow} C[\rho\sigma]$ using r, where $C[\lambda\sigma] = d(f(g(a, h(b)), a), a)$, $C[\rho\sigma] = d(d(s(h(b)), h(b)), a)$, and $\sigma = \{x/a, y/h(b)\}$. Let $L_C = \{\Lambda \mapsto \delta, 2 \mapsto \epsilon\}$, $L_{x/a} = \{\Lambda \mapsto \zeta\}$, and $L_{y/h(b)} = \{\Lambda \mapsto \eta, 1 \mapsto \theta\}$ be the labelings for C and the bindings in σ, respectively. Then, the corresponding labeled rewrite step μ^L is as follows

$$\mu^L : d^\delta(f^\alpha(g^\beta(a^\zeta, h^\eta(b^\theta)), a^\gamma), a^\epsilon) \rightarrow d^\delta(d^{\alpha\beta\gamma}(s^{\alpha\beta\gamma}(h^\eta(b^\theta)), h^\eta(b^\theta)), a^\epsilon)$$

4.2 Backward Tracing Relation

Given a rewrite step $\mu : t \overset{r}{\rightarrow} s$ and the labeling process defined in the previous section, the *backward tracing relation* computes the set of positions in t that are origin for a position w in s. Formally.

Definition 4. *(origin positions) Let $\mu : t \overset{r}{\rightarrow} s$ be a rewrite step and L be a labeling for μ where L_t (resp. L_s) is the labeling of t (resp. s). Given a position w of s, the set of origin positions of w in t w.r.t. μ and L (in symbols, $\lhd_\mu^L w$) is defined as follows:*

$$\lhd_\mu^L w = \{v \in \mathcal{P}os(t) \mid \exists p \in \mathcal{P}os(s), (v \mapsto l_v) \in L_t, (p \mapsto l_p) \in L_s \ s.t. \ p \leq w \ and \ l_v \subseteq l_p\}$$

Note that Definition 4 considers all positions of s in the path from its root to w for computing the origin positions of w. Roughly speaking, a position v in t is an origin of w, if the label of the symbol that occurs in t^L at position v is contained in the label of a symbol that occurs in s^L in the path from its root to the position w.

Example 2. Consider again the rewrite step $\mu^L : t^L \rightarrow s^L$ of Example 1, and let w be the position 1.2 of s^L. The set of labeled symbols occurring in s^L in the path from its root to position w is the set $z = \{h^\eta, d^{\alpha\beta\gamma}, d^\delta\}$. Now, the labeled symbols occurring in t^L whose label is contained in the label of one element of **z** is the set $\{h^\eta, f^\alpha, g^\beta, a^\gamma, d^\delta\}$. By Definition 4, the set of origin positions of w in μ^L is $\lhd_\mu^L w = \{1.1.2,\ 1,\ 1.1,\ 1.2,\ \Lambda\}$.

4.3   The Backward Trace Slicing Algorithm

First, let us formalize the slicing criterion, which basically represents the information we want to trace back across the execution trace in order to find out the "origins" of the data we observe. Given a term t, we denote by $\mathcal{O}_t$ the set of *observed* positions of t.

Definition 5. *(slicing criterion) Given a rewrite theory $\mathcal{R} = (\Sigma, \Delta, R)$ and an execution trace $\mathcal{T} : s \rightarrow^* t$ in $\mathcal{R}$, a slicing criterion for $\mathcal{T}$ is any set $\mathcal{O}_t$ of positions of the term t.*

In the following, we show how backward trace slicing can be performed by exploiting the backward tracing relation $\lhd_\mu^L$ that was introduced in Definition 4. Informally, given a slicing criterion $\mathcal{O}_{t_n}$ for $\mathcal{T} : t_0 \rightarrow t_2 \rightarrow \ldots \rightarrow t_n$, at each rewrite step $t_{i-1} \rightarrow t_i$, $i = 1, \ldots, n$, our technique inductively computes the backward tracing relation between the relevant positions of t_i and those in t_{i-1}. The algorithm proceeds backwards, from the final term t_n to the initial term t_0, and recursively generates at step i the corresponding set of relevant positions, $P_{t_{n-i}}$. Finally, by means of a removal function, a simplified trace is obtained where each t_j is replaced by the corresponding *term slice* that contains only the relevant information w.r.t. P_{t_j}.

Definition 6. *(sequence of relevant position sets) Let $\mathcal{R} = (\Sigma, \Delta, R)$ be a rewrite theory, and $\mathcal{T} : t_0 \xrightarrow{r_1} t_1 \ldots \xrightarrow{r_n} t_n$ be an execution trace in $\mathcal{R}$. Let L_i be the labeling for the rewrite step $t_i \rightarrow t_{i+1}$ with $0 \leq i < n$. The sequence of relevant position sets in $\mathcal{T}$ w.r.t. the slicing criterion $\mathcal{O}_{t_n}$ is defined as follows:*

$$relevant\_positions(\mathcal{T}, \mathcal{O}_{t_n}) = [P_0, \ldots, P_n]$$
$$where \begin{cases} P_n = \mathcal{O}_{t_n} \\ P_j = \bigcup_{p \in P_{j+1}} \lhd_{(t_j \rightarrow t_{j+1})}^{L_j} p, \ with\ 0 \leq j < n \end{cases}$$

Now, it is straightforward to formalize a procedure that obtains a term slice from each term t in $\mathcal{T}$ and the corresponding set of relevant positions of t. We introduce the fresh symbol $\bullet \notin \Sigma$ to replace any information in the term that is not relevant, hence does not affect the observed criterion.

Definition 7. *(term slice) Let $t \in T_\Sigma$ be a term and P be a set of positions of t. A* term slice *of t with respect to P is defined as follows:*

$$slice(t, P) = sl\_rec(t, P, \Lambda), \ where$$

$$sl\_rec(t, P, p) = \begin{cases} f(sl\_rec(t_1, P, p.1), \ldots, sl\_rec(t_n, P, p.n)) \\ \qquad if \ t = f(t_1, \ldots, t_n) \ and \ there \ exists \ w \ s.t. \ (p.w) \in P \\ \bullet \qquad otherwise \end{cases}$$

In the following, we use the notation $t^\bullet$ to denote a term slice of the term t. Roughly speaking, the symbol $\bullet$ can be thought of as a variable, and we denote by $[t^\bullet]$ the term that is obtained by replacing all occurrences of $\bullet$ in $t^\bullet$ with fresh variables. Then, we say that t' is a concretization of $t^\bullet$ (in symbols, $t^\bullet \propto t'$) , if $[t^\bullet]\sigma = t'$, for some substitution σ. Let us define a *sliced rewrite step* between two term slices as follows.

Definition 8. *(sliced rewrite step) Let $\mathcal{R} = (\Sigma, \Delta, R)$ be a rewrite theory and r a rule of $\mathcal{R}$. The term slice $s^\bullet$ rewrites to the term slice $t^\bullet$ via r (in symbols, $s^\bullet \xrightarrow{r} t^\bullet$) if there exist two terms s and t such that $s^\bullet$ is a term slice of s, $t^\bullet$ is a term slice of t, and $s \xrightarrow{r} t$.*

Finally, using Definition 8, backward trace slicing is formalized as follows.

Definition 9. *(backward trace slicing) Let $\mathcal{R} = (\Sigma, \Delta, R)$ be a rewrite theory, and $\mathcal{T} : t_0 \xrightarrow{r_1} t_1 \ldots \xrightarrow{r_n} t_n$ be an execution trace in $\mathcal{R}$. Let $\mathcal{O}_{t_n}$ be a slicing criterion for $\mathcal{T}$, and let $[P_0, \ldots, P_n]$ be the sequence of the relevant position sets of $\mathcal{T}$ w.r.t. $\mathcal{O}_{t_n}$. A trace slice $\mathcal{T}^\bullet$ of $\mathcal{T}$ w.r.t. $\mathcal{O}_{t_n}$ is defined as the sliced rewrite sequence of term slices $t_i^\bullet = slice(t_i, P_i)$ which is obtained by gluing together the sliced rewrite steps in the set*

$$\mathcal{K}^\bullet = \{t_{k-1}^\bullet \xrightarrow{r_k} t_k^\bullet \mid 0 < k \leq n \ \wedge \ t_{k-1}^\bullet \neq t_k^\bullet\}.$$

Note that in Definition 9, the sliced rewrite steps that do not affect the relevant positions (i.e., $t_{k-1}^\bullet \xrightarrow{r_k} t_k^\bullet$ with $t_{k-1}^\bullet = t_k^\bullet$) are discarded, which further reduces the size of the trace.

A desirable property of a slicing technique is to ensure that, for any concretization of the term slice $t_0^\bullet$, the trace slice $\mathcal{T}^\bullet$ can be reproduced. This property ensures that the rules involved in $\mathcal{T}^\bullet$ can be applied again to every concrete trace $\mathcal{T}'$ that we can derive by instantiating all the variables in $[t_0^\bullet]$ with arbitrary terms.

Theorem 1. *(soundness) Let $\mathcal{R}$ be an elementary rewrite theory. Let $\mathcal{T}$ be an execution trace in the rewrite theory $\mathcal{R}$, and let $\mathcal{O}$ be a slicing criterion for $\mathcal{T}$. Let $\mathcal{T}^\bullet : t_0^\bullet \xrightarrow{r_1} t_1^\bullet \ldots \xrightarrow{r_n} t_n^\bullet$ be the corresponding trace slice w.r.t. $\mathcal{O}$. Then, for any concretization t_0' of $t_0^\bullet$, it holds that $\mathcal{T}' : t_0' \xrightarrow{r_1} t_1' \ldots \xrightarrow{r_n} t_n'$ is an execution trace in $\mathcal{R}$, and $t_i^\bullet \propto t_i'$, for $i = 1, \ldots, n$.*

The proof of Theorem 1 relies on the fact that redex patterns are preserved by backward trace slicing. Therefore, for $i = 1, \ldots, n$, the rule r_i can be applied to any concretization t'_{i-1} of term $t^\bullet_{i-1}$ since the redex pattern of r_i does appear in $t^\bullet_{i-1}$, and hence in t'_{i-1}. A detailed proof of Theorem 1 can be found in [1].

Note that our basic framework enjoys neededness of the extracted information (in the sense of [18]), since the information captured by every sliced rewrite step in a trace slice is all and only the information that is needed to produce the data of interest in the reduced term.

5 Backward Trace Slicing for Extended Rewrite Theories

In this section, we consider an extension of our basic slicing methodology that allows us to deal with extended rewrite theories $\mathcal{R} = (\Sigma, E, R)$ where the equational theory (Σ, E) may contain associativity and commutativity axioms, and R may contain collapsing as well as nonleft-linear rules. Moreover, we also consider the built-in operators, which are not equipped with an explicit functional definition (e.g., Maude arithmetical operators). It is worth noting that all the proposed extensions are restricted to the labeling procedure of Section 4.1, keeping the backbone of our slicing technique unchanged.

5.1 Dealing with collapsing and nonleft-linear rules

Collapsing Rules. The main difficulty with collapsing rules is that they have a trivial contractum pattern, which consists in the empty context $\square$; hence, it is not possible to propagate labels from the left-hand side of the rule to its right-hand side. This makes the rule labeling procedure of Definition 1 completely unproductive for trace slicing.

In order to overcome this problem, we keep track of the labels in the left-hand side of the collapsing rule r, whenever a rewrite step involving r takes place. This amounts to extending the labeling procedure of Definition 3 as follows.

Definition 10. *(rewrite step labeling for collapsing rules) Let $\mu : t \overset{r,\sigma}{\to} s$ be a rewrite step s.t. $\sigma = \{x_1/t_1, \ldots, x_n/t_n\}$, where $r : \lambda \to x_i$ is a collapsing rule. Let L_r be a labeling for the rule r. In order to label the step μ, we extend the labeling procedure formalized in Definition 3 as follows:*

s_4. *Let t_i be the term introduced in s via the binding $x_i/t_i \in \sigma$, for some $i \in \{1, \ldots, n\}$. Then, the label l_i of the root symbol of t_i in s is replaced by a new composite label $l_c l_i$, where l_c is formed by joining all the labels appearing in the redex pattern of r^{L_r}.*

Nonleft-linear Rules. The trace slicing technique we described so far does not work for nonleft-linear TRS. Consider the rule: $r : f(x, y, x) \to g(x, y)$ and the one-step trace $\mathcal{T} : f(a, b, a) \to g(a, b)$. If we are interested in tracing back the symbol g that occurs in the final state $g(a, b)$, we would get the following trace slice $\mathcal{T}^\bullet : f(\bullet, \bullet, \bullet) \to g(\bullet, \bullet)$. However, $f(a, b, b)$ is a concretization of $f(\bullet, \bullet, \bullet)$

that cannot be rewritten by using r. In the following, we augment Definition 10 in order to also deal with nonleft-linear rules.

Definition 11. *(rewrite step labeling for nonleft-linear rules) Let $\mu : t \overset{r,\sigma}{\to} s$ be a rewrite step s.t. $\sigma = \{x_1/t_1, .., x_n/t_n\}$, where r is a nonleft-linear rule. Let $L_\sigma = \{L_{x_1/t_1}, .., L_{x_n/t_n}\}$ be a labeling for the substitution σ. In order to label the step μ, we further extend the labeling procedure formalized in Definition 10 as follows:*

s_5. *For each variable x_j that occurs more than once in the left-hand side of the rule r, the following steps must be followed:*
- *we form a new label l_{x_j} by joining all the labels in $Cod(L_{x_j/t})$ where $L_{x_j/t} \in L_\sigma$;*
- *let l_s be the label of the root symbol of s. Then, l_s is replaced by a new composite label $l_{x_j} l_s$.*

Note that, whenever a rewrite step μ involves the application of a rule that is both collapsing and non left-linear, the labeling for μ is obtained by sequentially applying step s_4 of Definition 10 and step s_5 of Definition 11 (over the labeled rewrite step resulting from s_4).

Example 3. Consider the labeled, collapsing and nonleft-linear rule $f^\beta(x, y, x) \to y$ together with the rewrite step $\mu : h(f(a, b, a), b) \to h(b, b)$, and matching substitution $\sigma = \{x/a, y/b\}$. Let $L_{h(\Box, b)} = \{\Lambda \mapsto \alpha, 2 \mapsto \epsilon\}$ be the labeling for the context $h(\Box, b)$. Then, for the labeling $L_\sigma = \{L_{x/a}, L_{y/b}\}$, with $L_{x/a} = \{\Lambda \mapsto \gamma\}$ and $L_{y/b} = \{\Lambda \mapsto \delta\}$, the labeled version of μ is $h^\alpha(f^\beta(a^\gamma, b^\delta, a^\gamma), b^\epsilon) \to h^\alpha(b^{\beta\gamma\delta}, b^\epsilon)$. Finally, by considering the criterion $\{1\}$, we can safely trace back the symbol b of the sliced final state $h(b, \bullet)$ and obtain the following trace slice

$$h(f(g(a), b, g(a)), \bullet) \to h(b, \bullet).$$

5.2 Built-in Operators

In practical implementations of RWL (e.g., Maude [9]), several commonly used operators are pre-defined (e.g., arithmetic operators, if-then-else constructs), which do not have an explicit specification. To overcome this limitation, we further extend our labeling process in order to deal with built-in operators.

Definition 12. *(rewrite step labeling for built-in operators) For the case of a rewrite step $\mu : C[op(t_1, \ldots, t_n)] \to C[t']$ involving a call to a built-in, n-ary operator op, we extend Definition 11 by introducing the following additional case:*

s_6. *Given an initial labeling L_{op} for the term $op(t_1, \ldots, t_n)$,*
- *each symbol occurrence in t' is labeled with a new label that is formed by joining the labels of all the (labeled) arguments $t_1, \ldots, t_n$ of op;*
- *the remaining symbol occurrences of $C[t']$ that are not considered in the previous step inherit all the labels appearing in $C[op(t_1, \ldots, t_n)]$.*

For example, by applying Definition 12, the addition of two natural numbers implemented through the built-in operator $+$ might be labeled as $+^\alpha(7^\beta, 8^\gamma) \to 15^{\beta\gamma}$.

5.3 Associative-Commutative Axioms

Let us finally consider an extended rewrite theory $\mathcal{R} = (\Sigma, \Delta \cup B, R)$, where B is a set of associativity (A) and commutativity (C) axioms that hold for some function symbols in Σ. Now, since B only contains associativity/commutativity (AC) axioms, terms can be represented by means of a single representative of their AC congruence class, called *AC canonical form* [11]. This representative is obtained by replacing nested occurrences of the same AC operator by a flattened argument list under a variadic symbol, whose elements are sorted by means of some linear ordering [5]. The inverse process to the flat transformation is the unflat transformation, which is nondeterministic (in the sense that it generates all the unflattended terms that are equivalent (modulo AC) to the flattened term) [6].

For example, consider a binary AC operator f together with the standard lexicographic ordering over symbols. Given the B-equivalence $f(b, f(f(b, a), c)) =_B f(f(b, c), f(a, b))$, we can represent it by using the "internal sequence" $f(b, f(f(b, a), c)) \rightarrow^*_{flat_B} f(a, b, b, c) \rightarrow^*_{unflat_B} f(f(b, c), f(a, b))$, where the first one corresponds to the *flattening* transformation sequence that obtains the AC canonical form, while the second one corresponds to the inverse, unflattening one.

The key idea for extending our labeling procedure in order to cope with B-equivalence $=_B$ is to exploit the flat/unflat transformations mentioned above. Without loss of generality, we assume that flat/unflat transformations are stable w.r.t. the lexicographic ordering over positions $\sqsubseteq$[7]. This assumption allows us to trace back arguments of commutative operators, since multiple occurrences of the same symbol can be precisely identified.

Definition 13. *(AC Labeling.) Let f be an associative-commutative operator and B be the AC axioms for f. Consider the B-equivalence $t_1 =_B t_2$ and the corresponding (internal) flat/unflat transformation $\mathcal{T} : t_1 \rightarrow^*_{flat_B} s \rightarrow^*_{unflat_B} t_2$. Let L be an initial labeling for t_1. The labeling procedure for $t_1 =_B t_2$ is as follows.*

1. *(flattening) For each flattening transformation step $t_{|v} \rightarrow_{flat_B} t'_{|v}$ in $\mathcal{T}$ for the symbol f, a new label l_f is formed by joining all the labels attached to the symbol f in any position w of t^L s.t. $w = v$ or $w \geq v$, and every symbol on the path from v to w is f; then, label l_f is attached to the root symbol of $t'_{|v}$.*

2. *(unflattening) For each unflattening transformation step $t_{|v} \rightarrow_{unflat_B} t'_{|v}$ in $\mathcal{T}$ for the symbol f, the label of the symbol f in the position v of t^L is attached*

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[5] Specifically, Maude uses the lexicographic order of symbols.

[6] These two processes are typically hidden inside the B-matching algorithms that are used to implement rewriting modulo B. See [9] (Section 4.8) for an in-depth discussion on matching and simplification modulo AC in Maude.

[7] The lexicographic ordering $\sqsubseteq$ is defined as follows: $\Lambda \sqsubseteq w$ for every position w, and given the positions $w_1 = i.w'_1$ and $w_2 = j.w'_2$, $w_1 \sqsubseteq w_2$ iff $i < j$ or ($i = j$ and $w'_1 \sqsubseteq w'_2$). Obviously, in a practical implementation of our technique, the considered ordering among the terms should be chosen to agree with the ordering considered by flat/unflat transformations in the RWL infrastructure.

to the symbol f in any position w of t' such that $w = v$ or $w \geq v$, and every symbol on the path from v to w is f.

3. The remaining symbol occurrences in t' that are not considered in cases 1 or 2 above inherit the label of the corresponding symbol occurrence in t.

Example 4. Consider the transformation sequence

$$f(b, f(b, f(a, c))) \to^*_{flat_B} f(a, b, b, c) \to^*_{unflat_B} f(f(b, c), f(a, b))$$

by using Definition 13, the associated transformation sequence can be labeled as follows:

$$f^\alpha(b^\beta, f^\gamma(b^\delta, f^\epsilon(a^\zeta, c^\eta))) \to^*_{flat_B} f^{\alpha\gamma\epsilon}(a^\zeta, b^\beta, b^\delta, c^\eta) \to^*_{unflat_B}$$
$$f^{\alpha\gamma\epsilon}(f^{\alpha\gamma\epsilon}(b^\beta, c^\eta), f^{\alpha\gamma\epsilon}(a^\zeta, b^\delta))$$

Note that the original order between the two occurrences of the constant b is not changed by the flat/unflat transformations. For example, in the first term, b^β is in position 1 and b^δ is in position 2.1 with $1 \sqsubseteq 2.1$, whereas, in the last term, b^β is in position 1.1 and b^δ is in position 2.2 with $1.1 \sqsubseteq 2.2$.

Finally, note that the methodology described in this section can be easily extended to deal with other equational attributes, e.g., identity (U), by explicitly encoding the internal transformations performed via suitable rewrite rules.

Soundness of the backward trace slicing algorithm for the extended rewrite theories is established by the following theorem which properly extends Theorem 1. The proof of such an extension can be found in [1].

Theorem 2. *(extended soundness) Let $\mathcal{R} = (\Sigma, E, R)$ be an extended rewrite theory. Let $\mathcal{T}$ be an execution trace in the rewrite theory $\mathcal{R}$, and let $\mathcal{O}$ be a slicing criterion for $\mathcal{T}$. Let $\mathcal{T}^\bullet : t_0^\bullet \xrightarrow{r_1} t_1^\bullet \dots \xrightarrow{r_n} t_n^\bullet$ be the corresponding trace slice w.r.t. $\mathcal{O}$. Then, for any concretization t_0' of $t_0^\bullet$, it holds that $\mathcal{T}' : t_0' \xrightarrow{r_1} t_1' \dots \xrightarrow{r_n} t_n'$ is an execution trace in $\mathcal{R}$, and $t_i^\bullet \propto t_i'$, for $i = 1, \dots, n$.*

6   Experimental Evaluation

We have developed a prototype implementation of our slicing methodology that is publicly available at `http://www.dsic.upv.es/~dromero/slicing.html`. The implementation is written in Maude and consists of approximately 800 lines of code. Maude is a high-performance, reflective language that supports both equational and rewriting logic programming, which is particularly suitable for developing domain-specific applications [12]. The reflection capabilities of Maude allow metalevel computations in RWL to be handled at the object-level. This facility allows us to easily manipulate computation traces of Maude itself and eliminate the irrelevant contents by implementing the backward slicing procedures that we have defined in this paper. Using reflection to implement the slicing tool has one important additional advantage, namely, the ability to quickly integrate the tool within the Maude formal tool environment [10], which is also developed using reflection.

Example	Example trace	Original trace size	Slicing criterion	Sliced trace size	% reduction
WoS	WoS.$\mathcal{T}_1$	776	WoS.$\mathcal{T}_1.O_1$	201	74.10%
			WoS.$\mathcal{T}_1.O_2$	138	82.22%
	WoS.$\mathcal{T}_2$	997	WoS.$\mathcal{T}_2.O_1$	404	58.48%
			WoS.$\mathcal{T}_2.O_2$	174	82.55%
FTCP	FTCP.$\mathcal{T}_1$	2445	FTCP.$\mathcal{T}_1.O_1$	895	63.39%
			FTCP.$\mathcal{T}_1.O_2$	698	71.45%
	FTCP.$\mathcal{T}_2$	2369	FTCP.$\mathcal{T}_2.O_1$	364	84.63%
			FTCP.$\mathcal{T}_2.O_2$	707	70.16%
Web-TLR	Web-TLR.$\mathcal{T}_1$	31829	Web-TLR.$\mathcal{T}_1.O_1$	1949	93.88%
			Web-TLR.$\mathcal{T}_1.O_2$	1598	94.97%
	Web-TLR.$\mathcal{T}_2$	72098	Web-TLR.$\mathcal{T}_2.O_1$	9090	87.39%
			Web-TLR.$\mathcal{T}_2.O_2$	7119	90.13%

Table 1. Summary of the reductions achieved.

In order to evaluate the usefulness of our approach, we benchmarked our prototype with several examples of Maude applications, namely: *War of Souls* (WoS), a role-playing game that is modeled as a nontrivial producer/consumer application; *Fault-Tolerant Communication Protocol* (FTCP), a Maude specification that models a fault-tolerant, client-server communication protocol; and Web-TLR, a software tool designed for model-checking real-size Web applications (e.g., Web-mailers, Electronic forums), which is based on rewriting logic.

We have tested our tool on some execution traces that were generated by the Maude applications described above by imposing different slicing criteria. For each application, we considered two execution traces that were sliced using two different criteria. As for the WoS example, we have chosen criteria that allow us to backtrace both the values produced and the entities in play — e.g., the criterion WoS.$\mathcal{T}_1.O_2$ isolates players' behaviors along the trace $\mathcal{T}_1$. Execution traces in the FTCP example represent client-server interactions. In this case, the chosen criteria aim at isolating a server and a client in a scenario that involves multiple servers and clients (FTCP.$\mathcal{T}_2.O_1$), and tracking the response generated by a server according to a given client request (FTCP.$\mathcal{T}_1.O_1$). In the last example, we have used Web-TLR to verify two LTL(R) properties of a Webmail application. The considered execution traces are much bigger for this program, and correspond to the counterexamples produced as outcome by the built-in model-checker of Web-TLR. In this case, the chosen criteria allow us to monitor the messages exchanged by the Web browsers and the Webmail server, as well as to focus our attention on the data structures of the interacting entities (e.g., browser/server sessions, server database).

Table 1 summarizes the results we achieved. For each criterion, Table 1 shows the size of the original trace and of the computed trace slice, both measures as the length of the corresponding string. The *%reduction* column shows the percentage of reduction achieved. These results are very encouraging, and show an impressive reduction rate (up to $\sim 95\%$). Actually, sometimes the trace slices are small enough to be easily inspected by the user, who can restrict her attention to the part of the computation she wants to observe getting rid of those data that are useless or even noisy w.r.t. the considered slicing criterion.

7   Conclusion and Related Work

We have presented a backward trace-slicing technique for rewriting logic theories. The key idea consists in tracing back —through the rewrite sequence— all the relevant symbols of the final state that we are interested in. Preliminary experiments demonstrate that the system works very satisfactorily on our benchmarks —e.g., we obtained trace slices that achieved a reduction of up to almost 95% in reasonable time (max. 0.5s on a Linux box equipped with an Intel Core 2 Duo 2.26GHz and 4Gb of RAM memory).

Tracing techniques have been extensively used in functional programming for implementing debugging tools [8]. For instance, Hat [8] is an interactive debugging system that enables exploring a computation backwards, starting from the program output or an error message (with which the computation aborted). Backward tracing in Hat is carried out by navigating a redex trail (that is, a graph-like data structure that records dependencies among function calls), whereas tracing in our approach does not require the construction of any auxiliary data structure.

Our backward tracing relation extends a previous tracing relation that was formalized in [6] for orthogonal TRSs. In [6], a label is formed from atomic labels by using the operations of sequence concatenation and underlining (e.g., a, b, ab, $\underline{abcd}$, are labels), which are used to keep track of the rule application order. Collapsing rules are simply avoided by coding them away. This is done by replacing each collapsing rule $\lambda \to x$ with the rule $\lambda \to \varepsilon(x)$, where ε is a unary dummy symbol. Then, in order to lift the rewrite relation to terms containing ϵ occurrences, infinitely many new extra-rules are added that are built by saturating all left-hand sides with $\varepsilon(x)$. In contrast to [6], we use a simpler notion of labeling, where composite labels are interpreted as sets of atomic labels, and in the case of collapsing as well as nonleft-linear rules we label the rewrite steps themselves so that we can deal with these rules in an effective way.

The work that is most closely related to ours is [13], which formalizes a notion of dynamic dependence among symbols by means of contexts and studies its application to program slicing of TRSs that may include collapsing as well as nonleft-linear rules. Both the *creating* and the *created* contexts associated with a reduction (i.e., the minimal subcontext that is needed to match the left-hand side of a rule and the minimal context that is "constructed" by the right-hand side of the rule, respectively) are tracked. Intuitively, these concepts are similar to our notions of redex and contractum patterns. The main differences with respect to our work are as follows. First, in [13] the slicing is given as a context, while we consider term slices. Second, the slice is obtained only on the first term of the sequence by the transitive and reflexive closure of the dependence relation, while we slice the whole execution trace, step by step. Obviously, their notion of slice is smaller, but we think that our approach can be more useful for trace analysis and program debugging. An extension of [6] is described in [18], which provides a generic definition of labeling that works not only for orthogonal TRSs as is the case of [6] but for the wider class of all left-linear TRSs. The nonleft-linear case is not handled by [18]. Specifically, [18] describes a methodology of static

and dynamic tracing that is mainly based on the notion of *sample of a traced proof term* —i.e., a pair (μ, P) that records a rewrite step $\mu = s \rightarrow t$, and a set P of reachable positions in t from a set of observed positions in s. The tracing proceeds forward, while ours employs a backward strategy that is particularly convenient for error diagnosis and program debugging. Finally, [13] and [18] apply to TRSs whereas we deal with the richer framework of RWL that considers equations and equational axioms, namely rewriting modulo equational theories.

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